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Patent and Trademark

U.S. DEPARTMENT OF COMMERCE

PTO/SB/05 (08-01)

Use through 10/31/2002. OMB 0651-0032

Unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

| | |
|--|--|
| Attorney Docket No. | P-4412-US |
| First Inventor or Application Identifier | FEINER, David |
| Title | AN APPARATUS FOR FLATTENING A SUBSTRATE AND METHOD THEREOF |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 12]
(preferred arrangement set forth below)
2. Descriptive title of the invention
3. Cross References to Related Applications
4. Statement Regarding Fed sponsored R & D
5. Reference to sequence listing, a table, or a computer program listing appendix
6. Background of the invention
7. Brief Summary of the invention
8. Brief Description of the Drawings (if filed)
9. Detailed Description
10. Claim(s)
11. Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement(IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 5303) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Postcard
- Other: _____

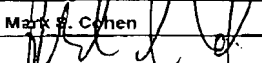
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

| | | | |
|---|-------------------------------------|---|---|
| <input type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No.: _____ / _____ |
| Prior application information: Examiner _____ | | Group/Art Unit: _____ | |

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | | |
|--|---|---|----------------|--|----------------|
| <input type="checkbox"/> Customer Number or Bar Code | | (Insert Customer No. or Attach Bar code label here) | | <input checked="" type="checkbox"/> Correspondence address below | |
| Name | Eitan, Pearl, Latzer & Cohen-Zedek | | | | |
| Address | One Crystal Park, Suite 210, 2011 Crystal Drive | | | | |
| City | Arlington | State | VA | Zip Code | 22202-3709 |
| Country | USA | Telephone | (703) 486-0600 | Fax | (703) 486-0800 |

| | | | |
|-------------------|---|-----------------------------------|-----------------|
| Name (Print/Type) | Mark S. Cohen | Registration No. (Attorney/Agent) | 42,425 |
| Signature |  | Date | 22 October 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|---------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | FEINER, David |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | P-4412-US |

TOTAL AMOUNT OF PAYMENT (\$1076.00)

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **05-0649**
Deposit Account Name **Eitan, Pearl, Latzer & Cohen-Zedek**

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 201 | 740 | 370 | Utility filing fee | 740.00 |
| 106 | 206 | 330 | 165 | Design filing fee | |
| 107 | 207 | 510 | 255 | Plant filing fee | |
| 108 | 208 | 740 | 370 | Reissue filing fee | |
| 114 | 214 | 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$740.00)

2. EXTRA CLAIM FEES

| Extra Claims | Fee from Below | Fee Paid |
|-----------------------------|----------------|----------|
| Total Claims 14 -20** = | 4 X 84 = | 336.00 |
| Independent Claims 7 -3** = | | |
| Multiple Dependent | X | |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|-----------------------|-----------------------|----------|----------|--|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 84 | 42 | Independent claims in excess of 3 |
| 104 | 204 | 280 | 140 | Multiple dependent claim, if not paid |
| 109 | 209 | 84 | 42 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 60 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 139 | 130 | 130 | Non-English specification | |
| 147 | 147 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 112 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 113 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for reply within first month | |
| 116 | 216 | 400 | 200 | Extension for reply within second month | |
| 117 | 217 | 920 | 460 | Extension for reply within third month | |
| 118 | 218 | 1,440 | 720 | Extension for reply within fourth month | |
| 128 | 228 | 1,980 | 980 | Extension for reply within fifth month | |
| 119 | 219 | 320 | 160 | Notice of Appeal | |
| 120 | 220 | 320 | 160 | Filing a brief in support of an appeal | |
| 121 | 221 | 280 | 140 | Request for oral hearing | |
| 138 | 138 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,280 | 640 | Petition to revive - unintentional | |
| 142 | 242 | 1,280 | 640 | Utility Issue fee (or reissue) | |
| 143 | 243 | 460 | 230 | Design issue fee | |
| 144 | 244 | 620 | 310 | Plant issue fee | |
| 122 | 122 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 123 | 50 | 50 | Petitions related to provisional applications | |
| 126 | 126 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 740 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 249 | 740 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 279 | 740 | 370 | Request for Continued Examination (RCE) | |
| 169 | 169 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

| | | | | | |
|--------------------|---------------|-----------------------------------|------------------|-----------|----------------|
| Name (Print /Type) | Mark S. Cohen | Registration No. (Attorney/Agent) | 42,425 | Telephone | (703) 486-0600 |
| Signature | | Date | October 22, 2001 | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.